




MAKOR SECURITIES  
LONDON LTD.

**MAKOR SECURITIES**  
**ORGANISED TRADING FACILITY**  
**Application form**

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<b>Application for participation in Makor OTF</b>	
Please complete all fields in this form	
Full name of the legal entity applying	
Registered business address of the legal entity	
Contact name for the application	
Contact email address and phone number for the application	
Contact name in relation to ongoing business (once the applicant has been accepted)	
Contact email address and phone number for ongoing business	





<p>Have the legal entity, the group company or any subsidiary entities of the group been subject to regulatory enforcement action in relation to financial services regulation over the course of the last 3 years?</p>	<p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes</p> <p>If yes, please provide a brief overview setting out the (alleged) offence, the regulatory action and any remedial action the legal entity took:</p>
<p>Is the legal entity authorized and regulated by the</p>	<p><input type="checkbox"/> No</p>





Financial Conduct Authority of by an EEA competent authority?	<input type="checkbox"/> Yes  If yes, please provide the entity's the FCA Registration Number or equivalent regulatory reference number from the relevant EEA competent authority:
Is the legal entity subject to an Insolvency Event as defined in Section 1 of the Makor OTF rulebook? (see <a href="http://www.makor-capital.com">www.makor-capital.com</a> )	<input type="checkbox"/> No <input type="checkbox"/> Yes  If yes, please provide further information about the insolvency event.
Have you provided us with a link or sent us a copy of the legal entity's most recent audited accounts?	<input type="checkbox"/> No <input type="checkbox"/> Yes

By signing this form, you agree that the information provided is accurate and complete to the best of your knowledge.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

